IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hitoshi TAKESHITA

Serial No.:

10/613,070

Filed:

July 7, 2003

Group Art Unit:

2633

Examiner:

Unknown

For:

OPTICAL SIGNAL TRANSMISSION SYSTEM, OPTICAL SIGNAL TRANSMITTER, OPTICAL SIGNAL RECEIVER, METHOD OF TRANSMITTING OPTICAL SIGNAL AND

METHOD OF RECEIVING OPTICAL SIGNAL

Honorable Commissioner of Patents Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents, cited in the Japanese Office Action, and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

In compliance with the requirements of 37 CFR §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country of the United States relative to the subject matter claimed in the present application has been made, the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted

Serial No.: 10/613,070

Docket No.: PF-3195/NEC/US/mh/

UNI.037

herewith.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

2

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Date:

MCGINN INTELLECTUAL PROPERTY

LAW GROUP, PLLC

8321 Old Courthouse Road, Suite 200 Vienna, Virginia 22182-3817

(703) 761-4100

Customer No. 21254

Respectfully submitted,

Sean M. McGinn, Esq.

Registration No. 34,386

			(NP	Docket Number (Optional) PF-3195/NEC/U		Application Number 10/613,070			
•]	INFO	RMATION DISCLOSUR (Use several sheets if neess	E CITATION	Applicant(s) Hitoshi TAKEASHI					
		IAN 2.5 2006	JAN 5	2006 Filing Date	.5	622			
		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\) B	July 7, 200			633	-	
		TA TRADENIARY OFF	A TRADE	PATENT DOCUMENTS					
XAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
2							/	 	
			U.S. PATENT	APPLICATION PUBLICATIONS					
EXAMINER INITIAL	REF DOCUMENT NUMBER		DATE	DATE NAME		SUBCLASS	FILING DATE IF APPROPRIATI		
								••	
	1								
			FORE	IGN PATENT DOCUMENTS					
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Transl YES	ation NO	
		53-130053	11/13/1978	Japan			ABS		
		9-215072	08/15/1997	Japan			ABS		
		11-55699	02/26/1999	Japan			A85		
		2000-183821	06/30/2000	Japan		rive .	ABS		
		2000-183821 WO 02/11320 A2	06/30/2000	Japan WIPO			ABS		
			02/07/2002	WIPO	thor, Title, Date,	Pertinent Pages,			
		WO 02/11320 A2	02/07/2002 OTHER	WIPO DOCUMENTS (Including Au		Pertinent Pages, I			
		WO 02/11320 A2	02/07/2002 OTHER	WIPO		Pertinent Pages, I			
		WO 02/11320 A2	02/07/2002 OTHER	WIPO DOCUMENTS (Including Au		Pertinent Pages, I			
		WO 02/11320 A2	02/07/2002 OTHER	WIPO DOCUMENTS (Including Au		Pertinent Pages,			
		WO 02/11320 A2	02/07/2002 OTHER	WIPO DOCUMENTS (Including Au		Pertinent Pages, I			
EXAMIN	ER	WO 02/11320 A2	02/07/2002 OTHER	WIPO DOCUMENTS (Including Au		Pertinent Pages,			

Form PTO-A820 (also form PTO-1449)

P09A/REV05

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCI

· 1	IÑFOI	RMATION DISCLOSUR	E CITATION		PF-3195/NEC/US/mh/ 10/613,070 Applicant(s)				
•		(Use several sheets if necess		Hitoshi TAKEA					
				Filing Date July 7		Group Art Unit 2633			
			U.S	. PATENT DOCUMENTS					
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	1 1								
			IIS PATEN	r application publication	ONS	4			
*EXAMINER	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
INITIAL	REF	DOCOMENT NOMBER	DATE	IVAIVE	02.00	5000000	IF APPROI	PRIATE	
					-				
			FORE	IGN PATENT DOCUMENTS		1			
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Transl YES	ation NO	
		11-346202	12/14/1999	Japan			ABS		
		8-111665	04/30/1996	Japan			ABS		
	ļ <u>.</u>								
			OTHER	DOCUMENTS (Includin	ng Author, Title, Date,	Pertinent Pages, I	Etc.)		
				20001121113				-	
EXAMIN	ER			DATE CONSIDER	RED				
		tial if citation considered, wheth nclude copy of this form with no		n conformance with MPEP Section applicant.	ion 609; Draw line th	rough citation if n	ot in conform	ance and	
	O A 920		· · · · · · · ·	P09A/REV05	Patent and Trade	emark Office * U.S. DI	EPARTMENT OF	COMMERC	

Docket Number (Optional)

Application Number